2019
Annual Security Report

St. Louis Dental Education & Oral Health Clinic

St. Louis, Missouri
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About the Annual Security Report

A.T. Still University (ATSU) Annual Security and Fire Safety Reports (ASRs) are published each year in compliance with The Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act (Clery Act), a federal statute codified at 20 U.S.C. Section 1092 (f), and updated in 2013. The law is named for Jeanne Clery, a 19 year-old Lehigh University freshman who was sexually assaulted and murdered in her campus residence hall in 1986. The Clery Act, signed in 1990, was originally known as the Crime Awareness and Campus Security Act. The Violence Against Women Act ("VAWA") amended the Clery Act in 2013; it expanded the rights afforded to campus survivors of dating violence, domestic violence, sexual assault, and stalking. For more information on the Clery Act, please visit Clery Center for Security on campus at clerycenter.org.


ATSU has two campuses: one in Kirksville, Missouri, and one in Mesa, Arizona. ATSU publishes separate ASRs for the Kirksville and Mesa campuses. In addition, the ATSU-MOSDOH’s St. Louis Dental Education and Oral Health Center (St. Louis Dental Center) in St. Louis, Missouri, and the twelve A.T. Still University-School of Osteopathic Medicine in Arizona (ATSU-SOMA) distance sites meet Clery Act criteria. Separate ASRs are published for each of those sites.

This ASR is prepared exclusively for the St. Louis Dental Education & Oral Health Clinic located at 1500 Park, St. Louis, Missouri (“St. Louis clinic campus”). ATSU partners with Affinia Healthcare, a health system providing dental health services at the St. Louis clinic campus location. Because the St. Louis clinic campus is an extension of MOSDOH, this ASR will sometimes reference services available through the Kirksville, Missouri campus, as this is where MOSDOH is housed.

This 2019 ASR includes crime statistics for the St. Louis clinic campus reported to ATSU-designated Campus Security Authorities (CSA) and the St. Louis Metropolitan Police, as well as crime statistics for the Kirksville campus. CSAs include deans, vice president and associate vice president for student affairs, assistant vice president for human resources, director of human resources, vice president & general counsel, and the Title IX coordinator. In addition, CSAs have been designated specifically for the St. Louis clinic campus, and are identified later in this ASR. The crime statistics are collected by the Security supervisor for the Kirksville campus who relays them to the compliance manager for inclusion in the ASR.

This ASR contains statistics for reported crimes that occurred 1) on-campus, 2) in certain off-campus buildings or property owned, leased and/or controlled by ATSU, and 3) on public property adjacent to campus. The St. Louis clinic campus has no student housing facilities.

ATSU policies concerning safety, security, sexual offenses, and alcohol/drug use are also found in this 2019 ASR.

ATSU ASRs are prepared by Dawn Shaffer, compliance manager, and reviewed by Matthew Heeren, vice president & general counsel. Questions about the 2019 ASRs may be referred to Matthew Heeren at mheeren@atsu.edu, 660.626.2004, or Dawn Shaffer at dshaffer@atsu.edu, 660.626.2004.
Law enforcement and jurisdiction

St. Louis Metropolitan Police Department stands ready to assist anyone on the St. Louis clinic campus in any emergency or matter of crime reporting and crime investigation.

- St. Louis Metropolitan Police
- 911 (Emergency)
- Third District
- 314.444.2500 (Non-emergency)
- 919 North Jefferson
- St. Louis, MO 63106

ATSU does not have a Memorandum of Understanding (MOU) in place with local law enforcement for this location at this time.

Security department

A security department (Security) employed by Affinia Healthcare promotes and maintains a safe and secure environment for the University community through security services, crime prevention, and education. The Affinia Security Department is not under the management of ATSU.

To contact Affinia Healthcare Security:

Dial 314.833.2744 (on-site) or 314.814.8568 (off-site)

Security consists of unarmed, uniformed security officers, employed by Affinia Healthcare. While Affinia Security officers are not commissioned police officers, they will provide assistance and/or contact local police and fire departments and other emergency personnel, as needed. Security’s responsibilities are to ensure campus safety and security and to enforce rules and regulations established by Affinia Healthcare policies and procedures.

Services provided by Security include:

- Reporting and investigation of crimes;
- Observation, correction, and reporting of safety hazards;
- Foot and vehicular patrol of campus during all hours patients are present;
- Security checks of facilities and property;
- Emergency and nonemergency assistance to students, employees, and visitors; and
- Escorts of University community members on-campus, upon request.

Students at the St. Louis clinic campus should understand ATSU Security in Kirksville is also available to assist them 24 hours a day, seven days a week, to receive reports of crimes, emergencies, or suspicious activities.

To contact ATSU Security in Kirksville:

Dial 660.626.2380 or 660.349.9513
How to report a crime or emergency

_Dial 911 for any police, fire, or medical emergency_

Call quickly! Do not assume someone else has made the call. Provide the dispatcher with accurate, detailed information. Stay on the phone until the dispatcher tells you it is okay to hang up.

ATSU faculty and staff with access to the Rave Panic Button mobile app may use it to dial 911.

_Tell a Campus Security Authority_

Any individual on the St. Louis clinic campus who is a victim of, or who observes, any criminal activity, should promptly notify local police and/or a Campus Security Authority (CSA). A CSA is a person with significant responsibility for student and campus activities, as designated by ATSU. Reporting to a CSA will aid in providing timely warning notices to the campus and/or community, when appropriate. Reporting crimes will ensure inclusion in annual crime statistics. Suspicious or inappropriate activities, conduct which is questionable for criminal activity, or non-emergency safety concerns occurring on-campus, should also be reported.

Under the Clery Act, crimes are considered reported when they are brought to the attention of a CSA. When a CSA receives information about a Clery reportable crime believed to have been provided in good faith, the CSA will document the incident and submit a report to ATSU Security. The CSA’s role is not to apprehend alleged perpetrators or conduct investigations. CSAs also are not responsible for trying to convince a victim to contact the police if the victim does not choose to do so. CSAs, however, do have a reporting responsibility. The reports enable any ongoing threats to the community to be assessed and for the incidents to be included in the reported crimes for the university.

Campus Security Authorities on the St. Louis clinic campus include:

Dwight McLeod, DDS, MS  
314.833.2737  
dmcleod@atsu.edu  

Patricia Inks, RDH, MS  
314.685.3563  
peinks@atsu.edu  

Akshay Vij, DDS  
314.685.3567  
aavij01@atsu.edu  

Marsha Marden, DMD  
314.685.3566  
mmarden@atsu.edu  

Herb Silva, DMD  
314.833.2798  
hsilva@atsu.edu  

Michael Abels, DDS  
314.685.3565  
mabels@atsu.edu  

The University has designated over 100 CSAs, including the following:

**Arizona campus**

Tonya Fitch  
Director of Human Resources  
480.219.6007  
tfitch@atsu.edu  

**Missouri campus**

Donna Brown  
Assistant Vice President of Human Resources  

**Arizona campus**

Beth Poppre  
Associate Vice President for Student Affairs  
480.219.6026  
bpoppre@atsu.edu  

**Missouri campus**

Lori Haxton  
Vice President for Student Affairs
John Gardner
Director of Title IX & Training
660.626.2113
titleix@atsu.edu

Other CSAs include designated staff members of Student Affairs and Human Resources, deans, assistant deans, general counsel, clinic directors, regional directors of medical education, advisors for registered student organizations, and ATSU Security officers. For a complete list of CSAs, contact Dawn Shaffer, compliance manager, at 660.626.2004 or dshaffer@atsu.edu.

The primary reason ATSU encourages the reporting of all crimes is to protect the whole person – body, mind, and spirit – of its students, employees, and visitors. Any person who feels unsafe or threatened should be assured of the University’s commitment to provide the actions, assistance, support, and resources needed to ensure their safety and wellbeing.

**Reporting crimes confidentially**

ATSU cannot guarantee confidentiality to those who report incidents of sexual assault, dating violence, domestic violence, and stalking, except when those reports are made to ATSU licensed counselors. If the reporting party requests confidentiality or asks that a report not be investigated, the University will take reasonable steps consistent with the request. However, the University’s ability to respond to the allegation may be limited in such cases. ATSU may not be able to grant such a request when the allegation suggests a continuing threat to the University community.

Throughout an investigation, the University will maintain any accommodations or protective measures provided to involved parties confidential, to the extent maintaining such confidentiality does not impair the University’s ability to provide such accommodations or protective measures.

ATSU is required by law to make certain statistical disclosures of crimes, which are reported to the University. Such statistics will not disclose any personally identifying information about the parties involved.

Reports made by students to ATSU mental health wellness counselors will be kept confidential. See the section in this ASR titled “ATSU mental health wellness counselors for students.”

Anonymous crime reporting is available through a secure online reporting form at fraudhl.com or through a 24-hour telephone service at 1.855.FRAUD.HL.

**Crime prevention and safety**

University employees and students are encouraged to take an active role in their personal safety and security. Each individual is expected to take precautions and act responsibly concerning their personal safety, the security of their possessions, and the safety of others. Suspicious individuals or unusual incidents on-campus should be reported to police. Individuals should seek to avoid situations which leave them vulnerable to possible bodily harm. Walking alone at night on-campus is discouraged. Anyone on campus who notices malfunctioning lights or other repairs needed to ensure a safe campus should report the deficiency to their supervisor or to the Affinia Healthcare facilities department.
ATSU departments of Security, Human Resources, and Student Affairs collaborate to offer training to new and current students and employees on emergency procedures. Security provides monthly emails to ATSU employees and students about campus security procedures and practices and to encourage students and employees to be responsible for their own security and the security of others. The emails contain detailed, practical information on topics relevant to crime prevention and safety, including sexual assault awareness.

Facilities access

The St. Louis clinic is a private campus and, as such, the grounds and buildings are open and accessible to the public only during regular business hours and at times when an event may be scheduled by the St. Louis clinic campus or Affinia Healthcare. Buildings are locked at 5:30 p.m. Faculty, staff, and students are allowed to enter the building after hours, but only if Security or custodial staff are on-site. All persons must exit the building by 7:30 p.m., with the exception of the dean and site director. Local police should be notified if an unknown or suspicious person is observed at the St. Louis clinic campus after hours.

ATSU mental health wellness counselors for students

ATSU mental health wellness counselors are not considered CSAs and are not required to report crimes for inclusion in the annual disclosure of crime statistics. Mental health wellness counselors are encouraged, if and when they deem appropriate, to inform persons being counseled of the procedure to report crimes to a CSA or other University official on a voluntary, confidential basis for inclusion in the annual disclosure of crime statistics.

For purposes of this section, “mental health wellness counselor” is defined as an ATSU employee whose official responsibilities include providing professional counseling to ATSU students and who is functioning within the scope of his or her license or certification. ATSU does not have pastoral counselors.

All ATSU students with relevant issues, including drug or alcohol abuse, or who have experienced sexual offenses, may seek help from ATSU Counseling Services.

ATSU Counseling Services – Kirksville, Missouri Campus
Thom Van Vleck, MS, LPC
660.626.2424
tvanvleck@atsu.edu
Sarah Thomas, MSW, LCSW
66.626.2424
snthomas@atsu.edu

Crime statistics

ATSU complies with all Clery Act requirements to report and disclose crime statistics on a calendar-year basis, in accordance with the definitions of crimes provided by the FBI for use in the Uniform Crime Reporting system. Categories of crime statistics include certain criminal offenses: arson, criminal homicide (murder/non-negligent manslaughter, manslaughter by negligence), sexual offenses (rape, fondling, incest, and statutory rape), robbery, aggravated assault, burglary, motor vehicle theft); arrests and referrals for disciplinary action for weapons, drug abuse, and liquor law violations; hate crimes; and offenses under the Violence Against Women Act (dating violence, domestic violence, and stalking). Crime statistics include those reported to Campus Security Authorities and the Phoenix police department.
The Clery Act identifies geographic categories for crimes, including 1) on-campus, with student housing as a subset, where applicable; 2) non-campus; and 3) public property. See Appendix B for definitions of Clery geography. The St. Louis clinic campus does not have student housing facilities. ATSU does not have any officially recognized student locations with non-campus locations for which crime statistics must be reported.

Disclosure of crime statistics

Crime statistics for the St. Louis clinic campus for 2016, 2017, and 2018 are found in Appendix C. ATSU posts reportable crime statistics for public access at http://ope.ed.gov/security/. Yearly disclosures of crime statistics to students and employees occur in accordance with the “Annual Security Report Distribution” section of this ASR.

Daily Crime Log

The Clery Act requires campuses with a campus police or security department to maintain a daily crime log. Since ATSU does not operate a security department on the St. Louis clinic campus, no daily crime log is kept by ATSU for the St. Louis clinic campus.

Annual Security Report Distribution

This 2019 Annual Security Report may be located electronically at www.atsu.edu/asr-mosdoh. All ATSU ASRs, including the Kirksville/Mesa campuses, MOSDOH St. Louis clinic, and SOMA community campuses, may be located at www.atsu.edu/security. ATSU distributes the ASR annually to all potential and current employees and students, as follows:

1) Potential and current employees:

   - All job applicants receive an email or posted notice at the application kiosk of the existence of ATSU’s ASR, offering a copy upon request;
   - Newly hired employees receive an electronic version of the ASR and are required to return a signed statement within 30 calendar days of their start date indicating they have received, read, and understood the report;
   - All employees receive annual notification of the ASR and its online location via email;
   - All employees participate in annual training, which includes review of the ASR. Training completion is verified via the Qualtrics online survey platform; and
   - All employees have unhindered access to the ASR on the University’s website, and printed versions upon request.

2) Potential and current students:

   - All applicants to ATSU receive notification on the electronic application of the existence of the ASR, offering a copy upon request;
   - All current ATSU students receive biannual notification (March and October) via email that includes a PDF attachment and the website link to the ASR;
   - Newly admitted students, transfer students, and students returning after a withdrawal are required to submit an acknowledgement form prior to the first day of class that includes directions on how to access the ASR;
   - Directions on how to access the ASR are reiterated during new student orientation; and
   - Information on how to access the ASR is included in every admission acceptance contract, which is signed by the student.
ATSU has developed procedures by which it will notify the campus community when there is significant emergency or immediate or impending threat to health and safety (emergency notification) or when there is ongoing danger due to a crime (timely warning).

Students and employees with an ATSU email address will receive emergency notifications and timely warnings by email. Students and employees who have registered will receive emergency notifications and timely warnings by text message. When available, overhead paging and campus digital signage may be utilized in addition to email and text messages.

Important: To receive emergency notifications and timely warnings by text message, students and employees must register online at atsu.edu/security (scroll down to “ATSU Emergency Notification System - RAVE”). There is no need to register separately for emergency notifications and timely warnings.

In the event ATSU issues an emergency notification, it is not required to also issue a timely warning based on the same circumstances.

In compliance with the Clery Act, detailed procedures for emergency notifications and timely warnings are below.

Emergency notifications
ATSU will immediately notify the campus community upon confirmation of an emergency or dangerous situation. The University has identified a process by which it will: 1) be made aware of an immediate or impending threat to health and safety on campus; 2) confirm the threat; 3) determine whether or not an emergency notification is needed to institute emergency response and evacuation procedures; 4) determine the content of the notification and the appropriate segment(s) of the campus community to receive a notification; and 5) send the emergency notification by email and/or text message to students and employees who need to be made aware of the threat and receive instructions. Examples of situations, which would warrant an emergency notification, include: outbreak of meningitis or other serious illness, approaching extreme weather conditions, gas leak, armed intruder, bomb threat, and significant hazardous waste spill.

There are two options for initiating the emergency notification procedure. One, any ATSU student, faculty, or staff who witnesses a potential emergency should immediately call 911, and also call ATSU Security in Kirksville (telephone: 660.626-2380 or 660.349.9513) to initiate the emergency notification process. Two, some faculty and staff have access on their mobile phone to an app purchased by ATSU called Rave Panic Button. Rave Panic Button is not available to students. When pressed, Rave Panic Button simultaneously dials 9-1-1 and alerts key ATSU personnel.
ATSU personnel who receive the Rave Panic Button alert include ATSU Security personnel, senior vice president-university planning, vice president for finance and administration/CFO, vice president for student affairs, director of facilities, vice president & general counsel, deans and associate deans for the campus, and the President. Upon receipt of the Rave Panic Button alert, the Kirksville Security employee on duty will immediately contact the initiator by telephone to confirm the emergency. The Kirksville Security employee on duty is responsible to initiate the emergency notification.

The Kirksville Security employee on duty will confirm with the initiator the known details of the threat. “Confirmation” of a threat means the University has verified a legitimate emergency or dangerous situation exists, regardless of whether the pertinent details are known or are even available. Local law enforcement and the National Weather Service are resources in verifying the existence of an emergency or dangerous situation.

The Kirksville Security employee on duty, alone or in consultation with the above-named administrators, will, without delay, and taking into account the safety of the community, determine whether an emergency notification is needed, the segment(s) of the campus community to receive the notification, and the content of the notification. The Kirksville Security employee on duty will initiate the notification system, unless issuing a notification will, in his/her professional judgment compromise efforts to assist a victim or to contain, respond to, or otherwise mitigate the emergency. The notification may provide details of the threat, action that should be taken, and other relevant information.

Emergency notifications are issued by email, and may be accompanied by text message to those who have opted in to the text messaging system. The University uses the Rave messaging system. Templates for various scenarios have been prepared and pre-installed in the Rave messaging system. The templates will be modified by the Security employee on duty at the time of the emergency notification, as needed, to include the specific location of the threat, if applicable, and other incident-specific information. The Rave messaging system is configured to allow ATSU to select the appropriate segment(s) of the campus community to receive an emergency notification.

In addition to the processes described above, if the “Active Shooter” button is pressed on the Rave Panic Button mobile phone app, Rave will immediately and automatically send a message to all Panic Button app users at the campus/distance site stating the Active Shooter button has been activated and giving the name of the employee, and location and time the button was pressed. The standard confirmation and alert process described above will still occur.

For external audiences, University leadership and the on-site incident commander will work collaboratively with the University’s public information officer to determine how and when the assigned University spokesperson will interact and communicate officially with members of the public, media, and other agencies with incident-related information. Methods of communication will be determined by the type and immediacy of the emergency.

The situation will continue to be assessed; follow-up and/or all-clear communications will be sent by the ATSU Security employee on duty.

Scheduled test/drills of ATSU’s emergency notification system are performed at least annually.

All actions described in this section as the responsibility of the ATSU Security employee on duty may be undertaken, as necessary, by the director of facilities or his/her designee.
Timely warnings

Timely warnings will be issued in response to reported crimes committed on campus or, in some cases, off campus that, in the judgment of the University, constitute ongoing danger to members of the University community. Timely warnings are issued by email to the entire University community, and may be accompanied by text message to all University community members who have opted in to the texted messaging system. Timely warnings are not limited to violent crimes or crimes against persons. Timely warnings are not limited to crimes reportable under the Clery Act, but include any crimes for which a timely warning is deemed to be warranted.

Timely warnings are issued by the ATSU Security employee on duty in consultation with ATSU's president or his/her designee. Whether the University will issue a timely warning is determined on a case-by-case basis in light of facts surrounding a crime, including the nature of the crime, and whether there is continuing danger to the campus community, keeping in mind possible risk of compromising law enforcement efforts.

Whether the University will issue a timely warning is determined on a case-by-case basis in light of facts surrounding a crime including the nature of the crime and whether there is continuing danger to the campus community, keeping in mind possible risk of compromising law enforcement efforts.

Timely warnings are intended to enable people to protect themselves, and thus, they will be issued as soon as pertinent information is available. Even if the University does not have all the facts surrounding a criminal incident that represents a serious and continuing threat to students and employees, a timely warning will contain pertinent information available, including when and where the crime occurred, if known. Additional information may be provided as it becomes available.

Timely warnings are considered for incident including, but not limited to, criminal homicide, sex offenses, robbery, aggravated assault, hate crimes, burglary, motor vehicle theft, arson, weapons offenses, or other reported crimes as deemed appropriate in an effort to prevent similar crimes from occurring. Conduct, incidents, or crimes, which may result in the need for timely warning, should be reported to ATSU Security or to a CSA by employees, students, and citizens on campus. Timely warnings will usually withhold and keep confidential the names and other personally identifying information of victims. However, in appropriate emergency circumstances, personally identifiable information may be included.

All actions described in this section as the responsibility of the ATSU Security employee on duty may be undertaken, as necessary, by the director of facilities or his/her designee.

The University is not required to provide a timely warning with respect to crimes reported to an ATSU mental health wellness counselor.

Emergency Operations Plan
& evacuation procedures

St. Louis Clinic Site

Students and employees at the St. Louis clinic function under the emergency operations procedures of the community health center (Affinia). Affinia Security conducts emergency response and evacuation drills. Evacuation routes are posted by Affinia.
Missouri and Arizona campuses

ATSU developed a revised Emergency Operations Plan (EOP) for the Missouri and Arizona campuses, effective July 1, 2018, which is consistent with the Incident Command System (ICS) and the National Incident Management System (NIMS). In the event of a campus emergency posing an immediate threat to the health or safety of employees and students, as confirmed by the President or his/her designee, the ATSU Security officer on duty will immediately notify the executive in charge, as designated by the President’s Office. The executive in charge will activate command staff members, as appropriate, and notify the President.

The Emergency Operations Committee (EOC) is responsible for developing, maintaining, and testing the EOP on an annual basis. Emergency response and evacuation drills, both announced and unannounced, are conducted periodically throughout the year. Drill monitors fill out drill evaluation forms and return them to ATSU Security. Records of drills and testing are available for review upon request at ATSU Security. The drill evaluation forms are reviewed by the security supervisor, director of facilities, and vice president for finance & administration/CFO. Deficiencies are noted and addressed.

Evacuation routes are posted in all campus buildings. Emergency procedure information is distributed to employees and students annually in conjunction with at least one evacuation drill per year.

Comprehensive ATSU emergency procedures may be accessed online at sites.google.com/a/atsu.edu/atsu-kirksville-emergency-notification/home.

Sexual offenses

Sexual Violence Policy statement

The University is committed to preventing sexual violence in all forms, including dating violence, domestic violence, sexual assault, and stalking, as those terms are defined for purposes of the Clery Act, and will take appropriate remedial action against any individual found responsible for acts in violation of this policy. Acts of sexual violence may also constitute violations of criminal or civil law, or University policies requiring separate proceedings. The definitions of local jurisdictions for the terms dating violence, domestic violence, sexual assault, and stalking are found in the definitions section of this ASR.

Procedures for victims of dating violence, domestic violence, stalking, or sexual assault

Victims of sexual offenses should first get to a place of safety and then obtain necessary medical treatment. Any ATSU employee or student who has experienced a sexual offense is strongly encouraged to seek assistance immediately by dialing 911 from any telephone.

Victims are encouraged to report sexual offenses. The report may be made to local law enforcement, ATSU Security, and/or an ATSU CSA. Confidential reports may be made to ATSU Security or CSAs, without the inclusion of personally identifiable information. Victims may choose to be assisted by campus authorities in notifying law enforcement authorities, if they so choose. Victims may decline to notify law enforcement, if they so choose. Community rape crisis counselors are available to assist; contact information may be found in the “Counseling and victim support” section of this ASR.
The St. Louis Metropolitan Police Department, 3rd District is located at 919 North Jefferson, St. Louis, Missouri 63106. Their non-emergency telephone number is 314-444-2500. When you file a report, you will be asked for your name, address, phone number, and Social Security number and/or driver’s license number. You will be advised you are making an official statement and asked to confirm everything you are about to say is true and correct to the best of your knowledge. You will then be asked to recount the incident in as much detail as possible.

Reports of dating violence, domestic violence, sexual assault, or stalking may be made to:

**Campus Security Authorities on the St. Louis clinic campus:**

- **Dwight McLeod, DDS, MS**  
  314.833.2737  
  dmcleod@atsu.edu  
- **Marsha Marden, DMD**  
  314.685.3566  
  mmarden@atsu.edu  
- **Patricia Inks, RDH, MS**  
  314.685.3563  
  peinks@atsu.edu  
- **Herb Silva, DMD**  
  314.833.2798  
  hsilva@atsu.edu  
- **Akshay Vij, DDS**  
  314.685.3567  
  aavij01@atsu.edu  
- **Michael Abels, DDS**  
  314.685.3565  
  mabels@atsu.edu

The University has designated over 100 CSAs, including the following:

**Arizona campus**

- **Tonya Fitch**  
  Director of Human Resources  
  480.219.6007  
  tfitch@atsu.edu  
- **Beth Poppre**  
  Associate Vice President for Student Affairs  
  480.219.6026  
  bpoppre@atsu.edu

**Missouri campus**

- **Donna Brown**  
  Assistant Vice President of Human Resources  
  660.626.2790  
  dbrown@atsu.edu  
- **Lori Haxton**  
  Vice President for Student Affairs  
  660.626.2236  
  lhaxton@atsu.edu

John Gardner  
Director of Title IX & Training  
660.626.2113  
titleix@atsu.edu

Following an allegation of domestic violence, dating violence, sexual assault, or stalking, the University will provide changes in academic, living, transportation, and working situations, or other protective measures, if the victim requests them, and if they are reasonably available, regardless of whether the victim chooses to report the crime to ATSU Security or local law enforcement. ATSU will maintain as confidential any accommodations or protective measures provided to the victim, to the extent that maintaining such confidentiality would not impair the ability of the University to provide the accommodations or protective measures.

Students and employees who report to the University that they are victims of dating violence, domestic violence, sexual assault, or stalking will receive from the University a written explanation
of their rights and options, and information about counseling, health, mental health, and victim advocacy services available both within the University and in the community.

Following a complaint, an investigation and/or disciplinary proceedings will take place in accordance with ATSU Policy 90-210, *Prohibition of Discrimination, Harassment, and Retaliation*.

Upon a report of dating violence, domestic violence, stalking, or sexual assault, the University will conduct a prompt, thorough, and impartial investigation.

Initial steps include a meeting between the investigator and the reporting party, and the implementation of reasonable interim measures, as requested. If it is determined no potential policy violations exist, the investigator will produce a report stating such conclusion. If it is determined any part of the policy may have been violated, a full investigation will be conducted. The parties will be regularly updated and timely notices of any meetings provided to both parties. Following written notice to both parties of the findings of the investigation, including determination of responsibility and sanctions, and available appeal procedures, both parties have the right to appeal. If the appeal has standing under the policy, an appellate panel will rule on the appeal. Written notice will be provided to the parties following the appellate panel report.

The investigation will typically be concluded within 10 business days of the receipt of the report, but may take up to 60 days or longer, based on factors such as the complexity of the investigation. The parties will be regularly updated as to the projected timeline for completion of the investigation. Simultaneous written notice to the parties with findings, sanctions, and available appeal procedures will occur within five business days of the completion of the investigation. The parties will have the right to appeal within five business days of receiving the findings. The appellate panel will rule on the appeal within 15 business days of receiving a written request for appeal. Any extension beyond 15 days will be communicated to both parties, along with an updated timeline. Written notice to the parties following the appeals process will occur within five business days of the appellate panel report.

Please refer to Policy 90-210 in Appendix D of this ASR for the full, authoritative description of steps, anticipated timelines, and decision-making processes. The disciplinary process described in Policy 90-210 will be prompt, fair, and impartial from the initial investigation to the final result. It will utilize the preponderance of evidence standard. Both the reporting party and respondent will have the same opportunities to have others present, including an advisor of their choice. Both the reporting party and respondent will receive simultaneous notification in writing of the results.

The process will be conducted by officials who receive annual training on the issues related to these crimes and how to conduct an investigation and hearing process that protects the safety of victims and promotes accountability. The training will include relevant evidence and how it should be used during a proceeding, proper techniques for questioning witnesses, basic procedural rules for conducting a proceeding, and avoiding actual and perceived conflicts of interest.

When University investigation determines a violation of its policies has occurred, sanctions for employees may include a disciplinary warning to be added to the employee’s permanent file, probation, suspension with or without pay, and/or dismissal. Sanctions for students may include reprimand, a disciplinary warning to be added to the student’s permanent file, probation, suspension, and/or dismissal.

Upon request, the University will disclose the results of a disciplinary proceeding for a violent crime or non-forcible sex offense (incest or statutory rape) to the next of kin, if the victim is deceased.
The University will protect the confidentiality of victims and other necessary parties. Clery Act statistics disclosures will not include personally identifying information about the victim.

Preserving evidence

ATSU strongly advocates victims of dating violence, domestic violence, stalking, and sexual assault report the incident in a timely manner. Physical evidence is crucial in helping to prosecute assailants. Physical evidence must be collected in a timely manner by a certified medical facility. It is best practice to preserve evidence by not showering, bathing, brushing teeth, changing clothes, or cleaning or otherwise changing the scene, prior to a medical/legal exam. Police officers will provide guidance in preserving items necessary for investigation. Completing a forensic exam does not obligate a victim to file a police report, but it does help preserve evidence in the event the victim decides to file a report at a later time. Preserving evidence may be helpful in obtaining a protection order.

Victims should save evidence such as letters, notes, emails, phone calls, videos, photos, texts, social media postings (Facebook, Twitter, etc.), computer screenshots, voicemails, or any other form of evidence.

Counseling and victim support

ATSU and the St. Louis Metropolitan Police Department will work to assure victims have access to confidential counseling from counselors trained in sexual assault crisis intervention. ATSU has counselors on staff who are available to students. Please see the “ATSU Mental Health Wellness Counselors for Students” section in this ASR for more information on ATSU mental health wellness counselors.

At the St. Louis clinic campus, victims have access to the following resources:

**General Domestic and Sexual Violence Victim Assistant Hotlines**

- Domestic Violence and Sexual Assault (Safe Connections) Hotline 314.531.2003
- Rape Crisis Line (St. Louis Regional Sexual Assault Center) 314.531.7273
- ALIVE Hotline 314.993.2777
- Safe Connections 24-Hour Crisis Helpline 314.531.2003
- Life Source Consultants Hotline 314.524.0686
- National Domestic Violence Hotline 800.799.7233
- National Sexual Assault Hotline 800.656.4673

**Domestic Violence Shelters**

- ALIVE (Alternatives to Living in Violent Environments) Hotline 314.993.7080  [www.alivestl.org](http://www.alivestl.org)
- Bridgeway Women’s Center 877.941.9144  [www.bridgewaybh.com](http://www.bridgewaybh.com)
- Kathy J. Weinman Shelter 314.423.1117  [www.co.st-louis.mo.us/dhs/kjweinmanshelter.html](http://www.co.st-louis.mo.us/dhs/kjweinmanshelter.html)
- St. Martha’s Hall 314.533.1313  [www.saintmarthas.org](http://www.saintmarthas.org)
- The Women’s Safehouse 314.772.4535  [www.twsh.org](http://www.twsh.org)

**Domestic and Sexual Violence Victim Advocacy/Counseling Services**
Orders of protection

Victims may file an Order of Protection in St. Louis County, Missouri at the following office Monday through Thursday 8:00 a.m. to 6:00 p.m., and Friday 8:00 a.m. to 5:00 p.m.

Family Court of St. Louis County
105 S. Central Avenue, Room 156
Clayton, MO 63105
314.615.4725

A person who obtains an Order of Protection should provide a copy to Human Resources (employees) or Student Affairs (students), per the contact information contained in Policy 90-210 of this ASR.

Sexual Offense Victim Bill of Rights

In compliance with federal law, ATSU will adhere to the following Sexual Offense Victim Bill of Rights, addressing the rights of victims of dating violence, domestic violence, stalking, and sexual assault. Any disciplinary hearings described below refer to ATSU internal conduct proceedings only and do not relate to criminal or civil proceedings in any court of law. ATSU students and employees reporting as a victim of a sexual offense, including dating violence, domestic violence, sexual assault, and stalking, whether occurring on or off campus, shall be provided a written explanation of the student or employee’s rights and options, including the following:

1. Victims shall be informed of their options to notify law enforcement. ATSU will assist with notifying law enforcement personnel, if the victim so desires.

2. Victims have the right to choose not to notify law enforcement.

3. Victims shall be notified of counseling services available.

4. Victims shall be notified in writing of options for changes to academic, living, transportation, working situations, or protective measures. The University will support any reasonable accommodations or protective measures requested by the victim, regardless of whether the victim chooses to report the crime to ATSU Security or local law enforcement.

5. Both the accuser and accused have the right to have an advisor of their choice present during any disciplinary proceeding conducted by ATSU.

6. Both the accuser and accused shall receive simultaneous notification, in writing, of: a) the result of any ATSU disciplinary proceeding that arises from an allegation of dating violence, domestic violence, sexual assault, or stalking; b) the procedures for appeal of the result of the disciplinary procedures, if such procedures are available; c) any change to the result; and d) when such results become final.
Sexual harassment

ATSU is committed to creating and maintaining an environment free from sexual harassment. The University works to prevent harassment and will take immediate action when allegations of sexual harassment are made. Retaliation is prohibited against an individual who has brought forward a complaint of sexual harassment and/or has taken part in an investigation as a result of a sexual harassment complaint. ATSU Policy 90-210 (Prohibition of Discrimination, Harassment, and Retaliation) may be found in this ASR, and on the ATSU website at:

atsu.edu/prohibition-of-discrimination-harassment-and-retaliation

Sexual violence prevention and awareness programs

ATSU provides primary prevention and awareness programs for all incoming students and new employees, and ongoing prevention and awareness programs for all current students and employees.

ATSU primary and ongoing prevention and awareness programs are aimed at stopping dating violence, domestic violence, sexual assault, and stalking and are culturally relevant, inclusive of diverse communities and identities, sustainable, responsive to community needs, and informed by research or assessed for value, effectiveness or outcome. Risk reduction strategies and safe and positive bystander intervention options are communicated to prevent the risk of dating violence, domestic violence, sexual assault, and stalking.

This ASR is included as one part of ATSU’s sexual violence prevention and awareness program. The ASR contains:

- a statement that ATSU prohibits the crimes of dating violence, domestic violence, sexual assault, and stalking;
- definitions of dating violence, domestic violence, sexual assault, and stalking in the applicable jurisdiction;
- the definition of consent in reference to sexual activity in the applicable jurisdiction;
- a description of safe and positive options for bystander intervention;
- information on risk reduction; and
- response and disciplinary processes.

The ASR is distributed to every student and employee by email twice yearly. The ASR is included in the information provided to new students and new employees. New students and employees are required to sign an acknowledgment stating they have received, read, and understood the ASR. All employees are expected to review the ASR annually as part of Required Employee Training.

See Appendix A for ATSU training events for students, faculty, and staff in 2017 specifically focused on prevention and awareness related to dating violence, domestic violence, sexual assault, and stalking. The events are sponsored by the offices of ATSU Security, Student Affairs, Human Resources, and the Vice President & General Counsel.

Risk reduction strategies

The following are strategies to reduce one’s risk of sexual assault or harassment. This does not mean victims are to blame. For more information, see the Rape, Abuse, & Incest National Network at rainn.org.
1. Be aware of your surroundings. Knowing where you are and who is around you may help you to find a way to get out of a bad situation.

2. Try to avoid isolated areas. It is more difficult to get help if no one is around.

3. Walk with purpose. Even if you don’t know where you are going, act like you do.

4. Trust your instincts. If a situation or location feels unsafe or uncomfortable, it probably isn’t the best place to be.

5. Try not to load yourself down with packages or bags, as this can make you appear more vulnerable.

6. Make sure your cell phone is with you and charged, and you have cab money.

7. Don’t allow yourself to be isolated with someone you don’t trust or someone you don’t know.

8. Avoid putting headphones in both ears so you can be more aware of your surroundings, especially if you are walking alone.

9. Don’t leave your drink unattended. If you’ve left your drink alone, just get a new one.

10. Watch out for your friends, and vice versa. If a friend is intoxicated or acting out of character, get him or her to a safe place immediately.

11. If you suspect you or a friend have been drugged, call 911 immediately.

12. If you need to get out of an uncomfortable or scary situation:
   a. Be true to yourself. Don’t feel obligated to do anything you don’t want to do. “I don’t want to” is always a good enough reason.
   b. Have a code word with friends or family so if you don’t feel comfortable you can call and communicate your discomfort without the person you are with knowing. Your friends or family can then come to get you, or make up an excuse for you to leave
   c. It’s okay to lie. It is better to lie and make up a reason to leave than to stay and be uncomfortable, scared, or worse.

**Bystander intervention information**

If anyone suspects a friend, acquaintance, or stranger may be in a high-risk situation for becoming a victim, is being victimized, or has been a victim of any form of sexual offense, including dating violence, domestic violence, sexual assault, or stalking, it is important to decide as a bystander whether there is a safe and reasonable way to intervene effectively to assist a person before, during, or after an incident takes place.

While there is no legal obligation for a bystander to act or intervene, ATSU promotes a campus culture of respect and concern for others, creating a community of responsible citizens. The following are suggestions for safe and positive bystander actions.
1. Take steps to stop a friend who chooses to use violence.

2. Ask a friend, acquaintance, or stranger who is attempting to take sexual advantage of another to stop and leave the location.

3. Take the initiative to help friends who aren’t thinking clearly to avoid becoming targets of violence.

4. Don’t leave a friend or acquaintance alone at a bar.

5. Prevent a friend or acquaintance from going to a private location with an intoxicated person.

6. Recognize dating or domestic partners who cause fear or physical pain to their partner, and voice your concerns, when appropriate.

7. Encourage a friend or acquaintance to seek assistance from a counselor.

8. Contact ATSU Security, Human Resources, Student Affairs, or another person of authority who can assist.

9. Call 911 if there is immediate danger.

10. Report the incident to ATSU’s Title IX coordinator.

Registered sex offenders

Under provisions of the Campus Crimes Sex Prevention Act of 2000, an amendment to the Jacob Wetterling Crimes Against Children and Sexually Violent Offender Registration Act (Wetterling Act), any person who is required to register under a state sex offender registration program must notify the state when he or she enrolls at an institution of higher education or is employed at an institution of higher education. Sex offender registration information is to be transmitted from each state to the law enforcement entities where the registered sex offender resides.

In Missouri, this information can be accessed on the Missouri State Highway Patrol’s webpage at: mshp.dps.missouri.gov/MSHPWeb/PatrolDivisions/CRID/SOR/SORPage.html.

Definitions - Violence Against Women Act (VAWA)

Definitions in this section are from the Violence Against Women Act of 1994 [42 U.S.C. 13925 (a)]

**Dating violence** Violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim; where the existence of such a relationship shall be determined based on a consideration of the following factors: length of the relationship, type of relationship, and frequency of interaction between the persons involved in the relationship. Dating violence includes, but is not limited to, sexual or physical abuse or threats of such abuse. If the act of violence meets the definition of domestic violence (below), then the act is classified as domestic violence, rather than dating violence.
**Domestic violence** A felony or misdemeanor crime of violence committed by a current or former spouse or intimate partner of the victim, by a person with whom the victim shares a child in common, by a person who is cohabiting with or has cohabited with the victim under the domestic violence or family violence laws of the jurisdiction receiving grant monies, or by any other person against an adult or youth victim who is protected from that person’s acts under the domestic or family violence laws of the jurisdiction.

**Stalking** Engaging in a course of conduct directed at a specific person that would cause a reasonable person to fear for his or her safety or the safety of others; or suffer substantial emotional distress.

For the purposes of this definition –

**Course of conduct** means two or more acts, including, but not limited to, acts which the stalker directly, indirectly, or through third parties, by any action, method, device, or means follows, monitors, observes, surveils, threatens, or communicates to or about, a person, or interferes with a person’s property.

Reasonable person means a reasonable person under similar circumstances and with similar identities to the victim.

Substantial emotional distress means significant mental suffering or anguish that may, but does not necessarily, require medical or other professional treatment or counseling.

**Definitions – Missouri state law**

**Dating violence** The institution has determined, based on good-faith research, that Missouri law does not define the term dating violence.

**Domestic violence (Mo. Rev. Stat. §§ 455.010(5) and 455.010 (7))** Abuse or stalking committed by a family or household member, as such terms are defined in Mo. Rev. Stat. § 455.010. "Family" or "household member", [includes] spouses, former spouses, any person related by blood or marriage, persons who are presently residing together or have resided together in the past, any person who is or has been in a continuing social relationship of a romantic or intimate nature with the victim, and anyone who has a child in common regardless of whether they have been married or have resided together at any time.

Additionally, Missouri law defines the term "Domestic Assault" (Mo. Rev. Stat. §§ 565.072 to 565.076):

- A person commits the offense of domestic assault in the first degree if he or she attempts to kill or knowingly causes or attempts to cause serious physical injury to a domestic victim, as the term "domestic victim" is defined under section 565.002.
  - Mo Rev. Stat. § 565.002(6) indicates that a “domestic victim” is a household or family member as the term “family” or “household member” is defined in 455.010, including any child who is a member of the household or family.
- A person commits the offense of domestic assault in the second degree if the act involves a domestic victim, as the term "domestic victim" is defined under section 565.002, and he or she:
  1. Knowingly causes physical injury to such domestic victim by any means, including but not limited to, use of a deadly weapon or dangerous instrument, or by choking or strangulation; or
  2. Recklessly causes serious physical injury to such domestic victim; or
  3. Recklessly causes physical injury to such domestic victim by means of any deadly weapon.
• A person commits the offense of domestic assault in the third degree if he or she attempts to cause physical injury or knowingly causes physical pain or illness to a domestic victim, as the term "domestic victim" is defined under section 565.002.

• A person commits the offense of domestic assault in the fourth degree if the act involves a domestic victim, as the term "domestic victim" is defined under section 565.002, and:
  1. The person attempts to cause or recklessly causes physical injury, physical pain, or illness to such domestic victim;
  2. With criminal negligence the person causes physical injury to such domestic victim by means of a deadly weapon or dangerous instrument;
  3. The person purposely places such domestic victim in apprehension of immediate physical injury by any means;
  4. The person recklessly engages in conduct which creates a substantial risk of death or serious physical injury to such domestic victim;
  5. The person knowingly causes physical contact with such domestic victim knowing he or she will regard the contact as offensive; or
  6. The person knowingly attempts to cause or causes the isolation of such domestic victim by unreasonably and substantially restricting or limiting his or her access to other persons, telecommunication devices or transportation for the purpose of isolation.

Stalking (Mo. Rev. Stat. §§ 565.225 and 565.227)
• As used below, the term "disturbs" shall mean to engage in a course of conduct directed at a specific person that serves no legitimate purpose and that would cause a reasonable person under the circumstances to be frightened, intimidated, or emotionally distressed.

• A person commits the offense of stalking in the first degree if he or she purposely, through his or her course of conduct, disturbs or follows with the intent to disturb another person and:
  1. Makes a threat communicated with the intent to cause the person who is the target of the threat to reasonably fear for his or her safety, the safety of his or her family or household member, or the safety of domestic animals or livestock as defined in section 276.606 kept at such person's residence or on such person's property. The threat shall be against the life of, or a threat to cause physical injury to, or the kidnapping of the person, the person's family or household members, or the person's domestic animals or livestock as defined in section 276.606 kept at such person's residence or on such person's property; or
  2. At least one of the acts constituting the course of conduct is in violation of an order of protection and the person has received actual notice of such order; or
  3. At least one of the actions constituting the course of conduct is in violation of a condition of probation, parole, pretrial release, or release on bond pending appeal; or
  4. At any time during the course of conduct, the other person is seventeen years of age or younger and the person disturbing the other person is twenty-one years of age or older; or
  5. He or she has previously been found guilty of domestic assault, violation of an order of protection, or any other crime where the other person was the victim; or
  6. At any time during the course of conduct, the other person is a participant of the address confidentiality program under sections 589.660 to 589.681, and the person disturbing the other person knowingly accesses or attempts to access the address of the other person.

• A person commits the offense of stalking in the second degree if he or she purposely, through his or her course of conduct, disturbs, or follows with the intent to disturb another person.
Sexual Assault (Mo. Rev. Stat. § 455.010(1)(e)): Causing or attempting to cause another to engage involuntarily in any sexual act by force, threat of force, duress, or without that person's consent.

For purposes of the Clery Act, the term “sexual assault” includes the offenses of rape, fondling, incest, and statutory rape. These definitions under Missouri law are as follows:

- **Rape (Mo. Rev. Stat. §§ 566.030 and 566.032):**
  - A person commits the offense of rape in the first degree if he or she has sexual intercourse with another person who is incapacitated, incapable of consent, or lacks the capacity to consent, or by the use of forcible compulsion. Forcible compulsion includes the use of a substance administered without a victim's knowledge or consent which renders the victim physically or mentally impaired so as to be incapable of making an informed consent to sexual intercourse.
  - A person commits the offense of rape in the second degree if he or she has sexual intercourse with another person knowing that he or she does so without that person's consent.

- **Fondling:** The institution has determined, based on good-faith research, that Missouri law does not define the term fondling.

- **Incest (Mo. Rev. Stat. § 568.020):** A person commits the offense of incest if he or she marries or purports to marry or engages in sexual intercourse or deviate sexual intercourse with a person he or she knows to be, without regard to legitimacy, his or her:
  1. Ancestor or descendant by blood or adoption; or
  2. Stepchild, while the marriage creating that relationship exists; or
  3. Brother or sister of the whole or half-blood; or
  4. Uncle, aunt, nephew or niece of the whole blood.

- **Statutory Rape (Mo. Rev. Stat. §§ 566.032 and 566.034):**
  - A person commits the offense of statutory rape in the first degree if he or she has sexual intercourse with another person who is less than fourteen years of age.
  - A person commits the offense of statutory rape in the second degree if being twenty-one years of age or older, he or she has sexual intercourse with another person who is less than seventeen years of age.

Other crimes under Missouri law that may be classified as a “sexual assault” include the following:

- **Sodomy (Mo. Rev. Stat. §§ 566.060 and 566.061):**
  - A person commits the offense of sodomy in the first degree if he or she has deviate sexual intercourse with another person who is incapacitated, incapable of consent, or lacks the capacity to consent, or by the use of forcible compulsion. Forcible compulsion includes the use of a substance administered without a victim's knowledge or consent which renders the victim physically or mentally impaired so as to be incapable of making an informed consent to sexual intercourse.
  - A person commits the offense of sodomy in the second degree if he or she has deviate sexual intercourse with another person knowing that he or she does so without that person's consent.

- **Statutory Sodomy (Mo. Rev. Stat. §§ 566.062 and 566.064):**
  - A person commits the offense of statutory sodomy in the first degree if he or she has deviate sexual intercourse with another person who is less than fourteen years of age.
A person commits the offense of statutory sodomy in the second degree if being twenty-one years of age or older, he or she has deviate sexual intercourse with another person who is less than seventeen years of age.

Child Molestation (Mo. Rev. Stat. §§ 566.067 to 566.071):
- A person commits the offense of child molestation in the first degree if he or she subjects another person who is less than fourteen years of age to sexual contact and the offense is an aggravated sexual offense.
- A person commits the offense of child molestation in the second degree if he or she:
  1. Subjects a child who is less than twelve years of age to sexual contact; or
  2. Being more than four years older than a child who is less than seventeen years of age, subjects the child to sexual contact and the offense is an aggravated sexual offense.
- A person commits the offense of child molestation in the third degree if he or she subjects a child who is less than fourteen years of age to sexual contact.
- A person commits the offense of child molestation in the fourth degree if, being more than four years older than a child who is less than seventeen years of age, subjects the child to sexual contact.

Sexual Misconduct Involving a Child (Mo. Rev. Stat. § 566.083):
- A person commits the offense of sexual misconduct involving a child if such person:
  1. Knowingly exposes his or her genitals to a child less than fifteen years of age under circumstances in which he or she knows that his or her conduct is likely to cause affront or alarm to the child;
  2. Knowingly exposes his or her genitals to a child less than fifteen years of age for the purpose of arousing or gratifying the sexual desire of any person, including the child;
  3. Knowingly coerces or induces a child less than fifteen years of age to expose the child's genitals for the purpose of arousing or gratifying the sexual desire of any person, including the child; or
  4. Knowingly coerces or induces a child who is known by such person to be less than fifteen years of age to expose the breasts of a female child through the internet or other electronic means for the purpose of arousing or gratifying the sexual desire of any person, including the child.

Sexual Misconduct (Mo. Rev. Stat. §§ 566.093 and 566.095):
- A person commits the offense of sexual misconduct in the first degree if such person:
  1. Exposes his or her genitals under circumstances in which he or she knows that his or her conduct is likely to cause affront or alarm;
  2. Has sexual contact in the presence of a third person or persons under circumstances in which he or she knows that such conduct is likely to cause affront or alarm; or
  3. Has sexual intercourse or deviate sexual intercourse in a public place in the presence of a third person.
- A person commits the offense of sexual misconduct in the second degree if he or she solicits or requests another person to engage in sexual conduct under circumstances in which he or she knows that such request or solicitation is likely to cause affront or alarm.

Sexual Abuse (Mo. Rev. Stat. §§ 566.100 and 566.101):
- A person commits the offense of sexual abuse in the first degree if he or she subjects another person to sexual contact when that person is incapacitated, incapable of consent, or lacks the capacity to consent, or by the use of forcible compulsion.
- A person commits the offense of sexual abuse in the second degree if he or she purposely subjects another person to sexual contact without that person's consent.

Consent (as it relates to sexual activity)(Mo. Rev. Stat. § 556.061(14)): Consent or lack of consent may be expressed or implied. Assent does not constitute consent if:

a. It is given by a person who lacks the mental capacity to authorize the conduct charged to constitute the offense and such mental incapacity is manifest or known to the actor; or
b. It is given by a person who by reason of youth, mental disease or defect, intoxication, a drug-induced state, or any other reason is manifestly unable or known by the actor to be unable to make a reasonable judgment as to the nature or harmfulness of the conduct charged to constitute the offense; or

- Consent (as it relates to sexual activity)(Mo. Rev. Stat. § 556.061(14)): Consent or lack of consent may be expressed or implied. Assent does not constitute consent if:

a. It is given by a person who lacks the mental capacity to authorize the conduct charged to constitute the offense and such mental incapacity is manifest or known to the actor; or
b. It is given by a person who by reason of youth, mental disease or defect, intoxication, a drug-induced state, or any other reason is manifestly unable or known by the actor to be unable to make a reasonable judgment as to the nature or harmfulness of the conduct charged to constitute the offense; or

- Consent (as it relates to sexual activity)(Mo. Rev. Stat. § 556.061(14)): Consent or lack of consent may be expressed or implied. Assent does not constitute consent if:

a. It is given by a person who lacks the mental capacity to authorize the conduct charged to constitute the offense and such mental incapacity is manifest or known to the actor; or
b. It is given by a person who by reason of youth, mental disease or defect, intoxication, a drug-induced state, or any other reason is manifestly unable or known by the actor to be unable to make a reasonable judgment as to the nature or harmfulness of the conduct charged to constitute the offense; or

c. It is induced by force, duress or deception.

Other definitions

**Awareness programs** Community-wide or audience specific programming, initiatives, and strategies that increase audience knowledge and share information and resources to prevent violence, promote safety, and reduce perpetration.

**Bystander intervention** Safe and positive options that may be carried out by an individual or individuals to prevent harm or intervene when there is a risk of dating violence, domestic violence, sexual assault, or stalking.

Bystander intervention includes recognizing situations of potential harm and understanding institutional structures and cultural conditions that facilitate violence, overcoming barriers to intervening, identifying safe and effective intervention options, and taking actions to intervene.

**Ongoing prevention and awareness campaign** Programming, initiatives, and strategies that are sustained over time and focus on increasing understanding of topics relevant to and skills for addressing dating violence, domestic violence, sexual assault, and stalking, using a range of strategies with audiences throughout the institution.

**Primary prevention programs** Programming initiatives, and strategies informed by research or assessed for value, effectiveness, or outcome that are intended to stop dating violence, domestic violence, sexual assault, and stalking before they occur through the promotion of positive and healthy behaviors that foster healthy, mutually respectful relationships and sexuality, encourage safe bystander intervention, and seek to change behavior and social norms in healthy and safe directions.

**Proceeding** All activities related to a non-criminal resolution of an institutional disciplinary complaint, including, but not limited to, fact finding investigations, formal or informal meetings, and hearings. Proceeding does not include communications and meetings between officials and victims concerning accommodations or protective measures to be provided to a victim.

**Result** Any initial, interim, and final decision by any official or entity authorized to resolve disciplinary matters within the institution. The result must include any sanctions imposed by the institution.
**Risk reduction** Options designed to decrease perpetration and bystander inaction and to increase empowerment for victims in order to promote safety and to help individuals and communities address conditions that facilitate violence.

**Unfounded crimes** An institution may withhold or subsequently remove a reported crime from its crime statistics in the rare situations where sworn or commissioned law enforcement personnel have fully investigated the reported crime, and based on the results of this full investigation and evidence, have made a formal determination the crime report is false or baseless, and therefore, “unfounded.” Only sworn or commissioned law enforcement personnel may make this determination. The recovery of stolen property, low value of stolen property, refusal of the victim to cooperate with the prosecution, and failure to make an arrest do not “unfound” a crime report.

### Alcohol and drug abuse policies

The University encourages a wellness model for the entire institution and recognizes its responsibility to support and promote activity that prevents disease and minimizes health risks. In addition, the possession, use, and sale of illegal drugs is prohibited by federal and state drug laws and enforced by the University by all appropriate means under the law. In order to meet this standard, ATSU established the Drug and Alcohol Abuse Prevention Program (DAAPP), which consists of ATSU Policy No. 90-324: Drug-Free and Alcohol-Free Workplace (employees), the Drug and Alcohol Abuse Prevention (DAAP) policy section of ATSU’s Student Handbook (students) and programming designed to educate students and employees of the health risks of alcohol and drug abuse and available resources for addiction. This program complies with the Drug-Free Workplace Act of 1988 and the Drug-Free Schools and Communities Act of 1989. The University’s DAAPP follows the guidelines provided by the Education Department General Administrative Regulations (EDGAR), Part 86. EDGAR, Part 86 establishes three primary areas of compliance, which include annual notification, method of distribution, and biennial review.

ATSU actively supports a drug and alcohol-free campus and workplace. The University’s standard of conduct prohibits all employees from possessing, reporting to work, or working under the influence of intoxicants (non-prescribed drugs, narcotics, alcohol, etc.) or the illegal possession, manufacture of use of drugs or alcohol in the workplace. ATSU enforces federal and state drug laws and underage drinking laws. ATSU Policy 90-324 (Drug-free and Alcohol-free Workplace) is distributed to all ATSU employees, as follows:

- All job applicants receive notification via email or posted notice at the application kiosk of the existence of ATSU’s Drug-free and Alcohol-free Workplace policy. The notice offers a copy of the document upon request;
- Newly hired employees receive an electronic version of the policy and are required to return a signed statement within thirty (30) calendar days of the employee’s start date indicating they have received, read, and understood the policy;
- All employees receive annual notification of the policy and its specific online location via email;
- All employees participate in annual training and review of the policy. Training completion is verified via the Qualtrics online survey platform; and
- All employees have unhindered access to the policy on the University’s website, including access to printed versions upon request.

The DAAP policy addresses drug and alcohol issues as they pertain to students. The DAAP policy may be found at [atsu.edu/daapp](http://atsu.edu/daapp) and is distributed to all ATSU students, as follows:
• All applicants to ATSU receive notification on the electronic application of the existence of the DAAP. This notification offers a copy of the policy upon request;
• All current ATSU students receive biannual notification (March and October) via email that includes a PDF attachment and the DAAP website link;
• Newly admitted students, transfer students, and students returning after a withdrawal are required to submit an acknowledgement form prior to the first day of class that includes directions on how to access the DAAP;
• Directions on how to access the DAAP are reiterated during new student orientation; and
• Information on how to access the DAAP is included in every admission acceptance contract, which is signed by the student.

Disciplinary sanctions for employees and faculty/staff impairment intervention protocol are described in ATSU Policy 90-324, which is also available on the ATSU portal.

The Code of Behavioral Conduct of ATSU's Student Handbook addresses the prohibition and penalties for the unlawful use, possession, or distribution of illegal drugs and alcohol by students, along with inappropriate or unprofessional use of alcohol and drugs. Violations of the Code of Behavioral Conduct may result in suspension, referral for prosecution, dismissal, or imposition of a lesser sanction. The Student Handbook is available on ATSU's website at:

atsu.edu/studenthandbook

The possession, use, and sale of alcohol is prohibited at the St. Louis dental clinic, except for pre-approved special functions.

Programs available for persons needing assistance with drug or alcohol counseling include:

Center for Substance Abuse Treatment (searchable by city and zip code)
https://findtreatment.samhsa.gov/

Alcoholics Anonymous (AA) Narcotics Anonymous (NA)
www.aa.org www.na.org

A list of drug and alcohol counseling and treatment programs is also available in Attachment 3 of ATSU Policy 90-324.

ATSU students also have access to certified on-campus counselors in Kirksville, Missouri.

The remainder of this page is intentionally blank.
# APPENDIX A
## Sexual Violence Prevention and Education Programs

<table>
<thead>
<tr>
<th>Date</th>
<th>Event Description</th>
<th>Department</th>
<th>Audience</th>
</tr>
</thead>
<tbody>
<tr>
<td>1/2018</td>
<td>Stalking Awareness Month – Slide show, brochures and resources on mobile cart (AZ campus)</td>
<td>Student Affairs</td>
<td>Students and employees</td>
</tr>
<tr>
<td>1/11/2018</td>
<td>Facebook posts on Intimate Partner Violence page</td>
<td>Student Affairs</td>
<td>Students and employees</td>
</tr>
<tr>
<td>2/2/2018</td>
<td>Facebook posts on Intimate Partner Violence page</td>
<td>Student Affairs</td>
<td>Students and employees</td>
</tr>
<tr>
<td>2/19-23/2018</td>
<td>Wellness Week – topics included were sexual violence and domestic violence (AZ campus)</td>
<td>Student Affairs</td>
<td>Students</td>
</tr>
<tr>
<td>2/22/2018</td>
<td>Title IX presentation at GCHS Winter Institute, “Power Imbalance” – Joe Vincent, ATSU Title IX Coordinator</td>
<td>Human Resources</td>
<td>Students and faculty</td>
</tr>
<tr>
<td>4/4/2018</td>
<td>Move for Mason – staffed information table with handouts on intimate partner violence (AZ campus)</td>
<td>Student Affairs</td>
<td>Students and employees</td>
</tr>
<tr>
<td>4/23/2018</td>
<td>Title IX presentation for transitioning KCOM students – Joe Vincent, ATSU Title IX Coordinator (MO campus)</td>
<td>Human Resources</td>
<td>Students</td>
</tr>
<tr>
<td>5/22/2018</td>
<td>Title IX presentation for SOMA Faculty Development, “Power Imbalance” – Joe Vincent, ATSU Title IX Coordinator (AZ campus)</td>
<td>Human Resources</td>
<td>Faculty</td>
</tr>
<tr>
<td>5/22/2018</td>
<td>Title IX presentation for MOSDOH transitioning students – Joe Vincent, ATSU Title IX Coordinator (MO campus)</td>
<td>Human Resources</td>
<td>Students</td>
</tr>
<tr>
<td>6/6/2018</td>
<td>Title IX presentation, new student orientation for ASHS PA program – Joe Vincent, ATSU Title IX Coordinator (AZ campus)</td>
<td>Human Resources</td>
<td>Students</td>
</tr>
<tr>
<td>6/6/2018</td>
<td>Sexual violence and domestic violence presentation for new student orientation, including spouses, partners, and families, exploring services available to spouses and partners</td>
<td>Student Affairs</td>
<td>Students</td>
</tr>
<tr>
<td>6/20/2018</td>
<td>Still Healthy Seminar, “Sexual Violence Prevention” – Joe Vincent, ATSU Title IX Coordinator</td>
<td>Human Resources</td>
<td>Students and employees</td>
</tr>
<tr>
<td>7/9/2018</td>
<td>Title IX presentation, new student orientation for ASDOH and SOMA students – Joe Vincent, ATSU Title IX Coordinator (AZ Campus)</td>
<td>Human Resources</td>
<td>Students</td>
</tr>
<tr>
<td>7/11/2018</td>
<td>Title IX presentation, new student orientation for ASHS students – Joe Vincent, ATSU Title IX Coordinator (AZ Campus)</td>
<td>Human Resources</td>
<td>Students</td>
</tr>
<tr>
<td>7/9-13/2018</td>
<td>Welcome Week. New student orientation programs and information table at resource fair on local domestic violence shelters. Members of Community Alliance Against Family Abuse presented to spouses/partners orientation group. (MO campus)</td>
<td>Student Affairs</td>
<td>Students</td>
</tr>
<tr>
<td>7/13/2018</td>
<td>Title IX presentation, new student orientation for KCOM and MOSDOH students – Joe Vincent, ATSU Title IX Coordinator. (MO campus)</td>
<td>Human Resources</td>
<td>Students</td>
</tr>
<tr>
<td>7/26/2018</td>
<td>Engagement Week Table: passive education on behavioral health and wellness, substance abuse, and intimate partner violence (AZ campus)</td>
<td>Student Affairs</td>
<td>Students and employees</td>
</tr>
<tr>
<td>Date</td>
<td>Event Description</td>
<td>Responsible Parties</td>
<td>Recipients</td>
</tr>
<tr>
<td>------------</td>
<td>-----------------------------------------------------------------------------------</td>
<td>------------------------------</td>
<td>-----------------------------</td>
</tr>
<tr>
<td>9/2018</td>
<td>Lifestyle medicine presentation on mental health, substance abuse, and domestic violence. Promoting self-care and care for patients. (MO campus)</td>
<td>Student Affairs</td>
<td>Students</td>
</tr>
<tr>
<td>11/2018</td>
<td>Presentation regarding sexual assault, sexual violence; how to support patients as a physician (MO campus)</td>
<td>Students Affairs</td>
<td>Students</td>
</tr>
<tr>
<td>Ongoing</td>
<td>Ongoing Facebook updates posted to ATSU Counseling page and ATSU Intimate Partner Violence webpage</td>
<td>Students Affairs</td>
<td>Students and employees</td>
</tr>
<tr>
<td>Ongoing</td>
<td>Required Employee Training – Video on Prohibition of Discrimination, Harassment, and Retaliation</td>
<td>Human Resources</td>
<td>Employees</td>
</tr>
<tr>
<td>Ongoing</td>
<td>“Discrimination Harassment Assault – Prevention &amp; Resources” brochure – provided at new employee training</td>
<td>Human Resources</td>
<td>Employees</td>
</tr>
</tbody>
</table>
APPENDIX B
CLERY ACT REPORTING GEOGRAPHY

Clery Act regulations (34 CFR 668.46) define geographic categories for crime reporting as follows:

1. **On-campus.**

   *Any building or property owned or controlled by an institution within the same reasonably contiguous geographic areas and used by the institution in direct support of, or in a manner related to, the institution’s educational purposes, including residence halls; and*

   *Any building or property that is within or reasonably contiguous to paragraph (1) of this definition, that is owned by the institution but controlled by another person, is frequently used by students, and supports institutional purposes (such as food or other retail vendor).*

   Crimes at on-campus student housing facilities are also reported as a subset of on-campus crimes (34 CFR 668.41(a)). Kirksville campus has on-campus student housing; Mesa campus does not have on-campus student housing.

2. **Non-campus.**

   *Any building or property owned or controlled by a student organization that is officially recognized by the institution; or any building or property owned or controlled by an institution that is used in direct support of, or in relation to, the institution’s educational purposes, is frequently used by students, and is not within the same reasonably contiguous geographic area of the institution.*

3. **Public Property.**

   *All public property, including thoroughfares, streets, sidewalks, and parking facilities, that is within the campus, or immediately adjacent to and accessible from the campus.*

   Public property refers to property owned by a public entity, such as a city or state government.
## APPENDIX C
### CRIME STATISTICS

### St. Louis Dental Education & Oral Health Clinic – St. Louis, Missouri

<table>
<thead>
<tr>
<th>Offense</th>
<th>Year</th>
<th>On-campus property</th>
<th>On-campus student housing facilities</th>
<th>Public property</th>
<th>Non-campus building or property</th>
</tr>
</thead>
<tbody>
<tr>
<td>Arson</td>
<td>2016</td>
<td>0</td>
<td>N/A</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>2017</td>
<td>0</td>
<td>N/A</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>2018</td>
<td>0</td>
<td>N/A</td>
<td>0</td>
<td>0</td>
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<tr>
<td>Criminal homicide: manslaughter by negligence</td>
<td>2016</td>
<td>0</td>
<td>N/A</td>
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<td>0</td>
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<tr>
<td></td>
<td>2017</td>
<td>0</td>
<td>N/A</td>
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<td>0</td>
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<tr>
<td></td>
<td>2018</td>
<td>0</td>
<td>N/A</td>
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<tr>
<td>Criminal homicide: murder and non-negligent manslaughter</td>
<td>2016</td>
<td>0</td>
<td>N/A</td>
<td>0</td>
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<tr>
<td></td>
<td>2017</td>
<td>0</td>
<td>N/A</td>
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<tr>
<td></td>
<td>2018</td>
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<td>N/A</td>
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<tr>
<td>Sex offenses</td>
<td></td>
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<td>0</td>
<td>0</td>
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<tr>
<td></td>
<td>2017</td>
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<tr>
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<td>2018</td>
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<td>N/A</td>
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<td>Fondling</td>
<td>2016</td>
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<tr>
<td></td>
<td>2017</td>
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<td>N/A</td>
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<tr>
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<td>2018</td>
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<td>N/A</td>
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<td>Incest</td>
<td>2016</td>
<td>0</td>
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<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>2017</td>
<td>0</td>
<td>N/A</td>
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<td>0</td>
</tr>
<tr>
<td></td>
<td>2018</td>
<td>0</td>
<td>N/A</td>
<td>0</td>
<td>0</td>
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<tr>
<td>Statutory rape</td>
<td>2016</td>
<td>0</td>
<td>N/A</td>
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<td>0</td>
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<tr>
<td></td>
<td>2017</td>
<td>0</td>
<td>N/A</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>2018</td>
<td>0</td>
<td>N/A</td>
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<td>Robbery</td>
<td>2016</td>
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<tr>
<td></td>
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<tr>
<td></td>
<td>2018</td>
<td>0</td>
<td>N/A</td>
<td>0</td>
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<tr>
<td>Aggravated assault</td>
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<tr>
<td></td>
<td>2017</td>
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<td>N/A</td>
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<td>2018</td>
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<td>N/A</td>
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<td>Burglary</td>
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<td></td>
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<td>N/A</td>
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<tr>
<td></td>
<td>2018</td>
<td>0</td>
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<td>Motor vehicle theft</td>
<td>2016</td>
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<tr>
<td></td>
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<tr>
<td></td>
<td>2018</td>
<td>1</td>
<td>N/A</td>
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<tr>
<td>Offense</td>
<td>Year</td>
<td>On-campus property</td>
<td>On-campus student housing facilities</td>
<td>Public property</td>
<td>Non-campus building or property</td>
</tr>
<tr>
<td>---------</td>
<td>------</td>
<td>---------------------</td>
<td>-------------------------------------</td>
<td>-----------------</td>
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<td>Domestic violence</td>
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<tr>
<td></td>
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<td>2018</td>
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<tr>
<td>Dating violence</td>
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<td></td>
<td>2017</td>
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<td>2018</td>
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<tr>
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<td>2018</td>
<td>0</td>
<td>N/A</td>
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<tr>
<td>Arrests: weapons (carrying, possessing, etc.)</td>
<td>2016</td>
<td>0</td>
<td>N/A</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>2017</td>
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<td>2018</td>
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<td>N/A</td>
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<td>Disciplinary referrals: weapons (carrying, possessing, etc.)</td>
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<td>0</td>
<td>N/A</td>
<td>0</td>
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<tr>
<td></td>
<td>2017</td>
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<td>2018</td>
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<tr>
<td>Arrests: drug abuse violations</td>
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<td>0</td>
<td>N/A</td>
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<tr>
<td></td>
<td>2017</td>
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<td>N/A</td>
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<td>2018</td>
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<td>Disciplinary referrals: drug abuse violations</td>
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<td>2018</td>
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<td>Arrests: liquor law violations</td>
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<td></td>
<td>2017</td>
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<tr>
<td></td>
<td>2018</td>
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<td>N/A</td>
<td>0</td>
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</tbody>
</table>

There were no hate crimes reported in 2016, 2017, or 2018. A hate crime is a criminal offense that manifests evidence the victim was intentionally selected because of the perpetrator's bias against the victim. The following categories of bias are to be reported under the Clery Act: race, religion, sexual orientation, gender, gender identity, ethnicity, national origin, and disability.

No crime reports from 2016, 2017, or 2018 were determined to be “unfounded.” A crime is considered unfounded only if sworn or commissioned law enforcement personnel make a formal determination that a report is false or baseless.

The St. Louis dental clinic campus does not have on-campus student housing facilities.
### Kirksville, Missouri campus crime statistics

<table>
<thead>
<tr>
<th>Offense</th>
<th>Year</th>
<th>On-Campus property</th>
<th>On-campus student housing facilities</th>
<th>Public property</th>
<th>Non-campus building or property</th>
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<tr>
<td>Arson</td>
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<td></td>
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</tr>
<tr>
<td></td>
<td>2018</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Criminal homicide: manslaughter by negligence</td>
<td>2016</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
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There were no hate crimes reported in 2016, 2017, or 2018. A hate crime is a criminal offense that manifests evidence the victim was intentionally selected because of the perpetrator’s bias against the victim. The following categories of bias are to be reported under the Clery Act: race, religion, sexual orientation, gender, gender identity, ethnicity, national origin, and disability.

- No crime reports from 2016, 2017, or 2018 were determined to be “unfounded.” A crime is considered unfounded only if sworn or commissioned law enforcement personnel make a formal determination that a report is false or baseless.
APPENDIX D

This policy contains procedures for institutional disciplinary action in cases of dating violence, domestic violence, sexual assault, stalking, harassment, discrimination, and retaliation.

Policy 90-210
Prohibition of Harassment, Discrimination, and Retaliation

PURPOSE

The purpose of this general order is to provide an employment and learning environment at A.T. Still University of Health Sciences (“ATSU” or “the University”) free from discrimination, harassment, and retaliation. Discrimination, harassment, or retaliation by anyone—managers, administrators, supervisors, co-workers, students, or non-University personnel including clients, vendors, and suppliers—on the basis of race, color, religion, ethnicity, national origin, sex (including pregnancy), gender, sexual orientation, gender identity, age, disability, veteran status, or any other status protected by applicable law is a violation of University policy and is prohibited by the University.

POLICY

ATSU does not discriminate on the basis of race, color, religion, ethnicity, national origin, sex (including pregnancy), gender, sexual orientation, gender identity, age, disability, or veteran status in admission or access to, or treatment or employment in its programs and activities. Dating violence, domestic violence, sexual assault (e.g. non-consensual sexual contact/intercourse), stalking, harassment, and retaliation are forms of discrimination prohibited by ATSU.

Any person who witnesses or has knowledge of incidents of discrimination, harassment, retaliation, or any other situation prohibited by this policy, should report such information to the persons listed in this general order. All reporting parties are protected from adverse action or retaliation under the provisions of this policy and ATSU Policy No. 10-216: Whistleblower. Good faith reports, even if erroneous, will not result in punitive action. Deliberately false and/or malicious accusations of harassment are just as serious an offense as harassment and will be subject to appropriate disciplinary action.

To report violations of ATSU’s nondiscrimination policies, request information, or for assistance filing a police report, contact the following persons:

**Arizona Campus**

Tonya Fitch  
Director of Human Resources  
Deputy Title IX Coordinator  
5850 East Still Circle  
Mesa, AZ 85206-3618  
480.219.6007  
tfitch@atsu.edu

Missouri Campus

Donna Brown  
Assistant Vice President of Human Resources  
Deputy Title IX Coordinator  
800 West Jefferson Street  
Kirkville, Missouri 63501  
660.626.2790  
dbrown@atsu.edu

**Arizona Campus**

Beth Poppre  
Associate Vice President for Student Affairs  
Deputy Title IX Coordinator  
5850 E. Still Circle  
Mesa, Arizona 85206-3618  
480.219.6026  
bpoppre@atsu.edu

**Missouri Campus**

Lori Haxton  
Vice President for Student Affairs  
Deputy Title IX Coordinator  
800 West Jefferson Street  
Kirkville, Missouri 63501  
660.626.2236  
lhaxton@atsu.edu
Alternately, discrimination complaints, reports, or questions may be directed to the ATSU Title IX Coordinator:

John Gardner
Director of Title IX & Training
800 West Jefferson Street
Kirksville, MO 63501
660.626.2113
titleix@atsu.edu

**ATSU Campus Security** ([http://www.atsu.edu/security](http://www.atsu.edu/security))
- **Arizona campus:**
  - Emergency – 911 (off-campus)
  - Security Office – *7 (on-campus)
  - Non-Emergency Security – 480.341.9075
  - Security Office – 33 (on-campus)
  - Non-Emergency Security – 660.626.2380 / 660.349.9513
  - Mesa Police Department – 480.644.2211, opt. 2
  - Kirksville Police Department – 660.785.6945
- **Missouri campus:**
  - Emergency – 911 (off campus)
  - Emergency – 9-911 (on-campus)
  - Security Office – Security Office – 33 (on-campus)

On-campus confidential resources are available for students through:

**ATSU Counseling Services** ([http://www.atsu.edu/counseling_services](http://www.atsu.edu/counseling_services))
- **Arizona campus** – Art Matthews, 480.219.6170, amatthews@atsu.edu
- **Missouri campus** – Thom Van Vleck, 660.626.2424, tvanvleck@atsu.edu

To anonymously and confidentially report situations or behavior that compromises the University’s integrity, call our 24-hour service at 1-855-FRAUD-HL or use our secure online reporting form at [http://www.fraudhl.com](http://www.fraudhl.com). Reference our Company ID (“ATSU”) when making a report.

Off-campus counseling and victim support is available through:

- National Sexual Assault Hotline – 800.656.4673
- Victim Support Services, Inc. (Missouri) – 660.665.1617
- Mesa Victim Services Unit (Arizona) – 480.644.4075

Complaints regarding potential violations of Title IX, the Clery Act, or Title VII may be directed to:

**Title IX and Clery Act:**
- U.S. Department of Education
  - One Petticoat Lane
  - 1010 Walnut Street, Suite 320
  - Kansas City, MO 64106
  - 816.268.0550
  - 816.268.0559 fax
  - ocr.kansascity@ed.gov
- U.S. Equal Employment Opportunity Commission
  - Robert A. Young Federal Building
  - 1222 Spruce Street, Room 8.100
  - St. Louis, MO 63103
  - 800.669.4000
  - 314.539.7894 fax

**Title VII:**
- U.S. Equal Employment Opportunity Commission
  - Robert A. Young Federal Building
  - 1222 Spruce Street, Room 8.100
  - St. Louis, MO 63103
  - 800.669.4000
  - 314.539.7894 fax

**A. Anti-Harassment**

1. Prohibited conduct includes unwelcome conduct, whether verbal, non-verbal, physical, or visual, that is based on or relates to an individual's race, color, religion, ethnicity, national origin, sex (including pregnancy), gender, sexual orientation, gender identity, age, disability, veteran status, or any other status protected by applicable law, and
   a. Has the effect of creating a hostile environment;
   b. Has the effect of unreasonably interfering with an individual's work or student's performance;
   c. Otherwise adversely affects an individual's employment or education opportunities.

2. A hostile environment is any situation in which there is harassing conduct sufficiently severe, pervasive, or objectively offensive to alter the conditions of employment or limit, interfere with, or deny educational benefits or opportunities, from both a subjective (the alleged victim's) and an objective (a reasonable person standard) viewpoint.
3. The determination of whether an environment is “hostile” will be based upon the circumstances, including:
   a. The frequency of the conduct;
   b. The nature and severity of the conduct;
   c. Whether the conduct was physically threatening;
   d. Whether the conduct was humiliating;
   e. The effect of the conduct on the alleged victim’s mental or emotional state;
   f. Whether the conduct was directed at more than one person;
   g. Whether the conduct arose in the context of other discriminatory conduct;
   h. Whether the conduct unreasonably interfered with the alleged victim's educational or work performance;
   i. Whether the statement is an utterance of an epithet which engenders offense in an employee or student, or offends by mere discourtesy or rudeness;
   j. Whether the speech or conduct deserves the protections of academic freedom or the First Amendment of the U.S. Constitution.

4. Examples of prohibited conduct include but are not limited to: jokes, epithets, slurs, insults, negative stereotyping, written or graphic material (including emails), or any threatening or intimidating acts, that denigrate or show hostility toward an individual and that relate to race, color, religion, ethnicity, national origin, sex (including pregnancy), gender, sexual orientation, gender identity, age, disability, veteran status, or any other status protected by applicable law.

5. Prohibited behavior also includes any unwelcome behavior of a sexual nature such as sexual advances and propositions; requests for sexual favors; sexual jokes, comments, suggestions, or innuendo; foul or obscene gestures or language; display of foul, obscene, or offensive printed or visual material; unwelcome physical contact of a sexual nature, such as bodily contact with the breast, groin, or buttocks; patting, pinching, hugging, or brushing against another individual's body; and any other unwelcome verbal, non-verbal, physical or visual conduct of a sexual nature where:
   a. Submission to such conduct is an explicit or implicit condition of employment or education; or
   b. Submission to or rejection of such conduct is used as a basis for employment-related or academic-related decisions such as a promotion, discharge, performance evaluation, pay adjustment, discipline, work assignment, or any other condition of employment or career development or academic development; or
   c. Such conduct has the effect of unreasonably interfering with an individual's work or academic performance or creating an intimidating, abusive, or offensive working or educational environment.

6. Non-consensual sexual contact and non-consensual sexual intercourse are explicitly prohibited by this policy.
   a. Non-consensual sexual contact is any unwelcome intentional sexual touching, however slight, with any object, by a man or woman upon a man or woman.
   b. Non-consensual sexual intercourse is any unwelcome sexual intercourse, however slight, with any object, by a man or woman upon a man or woman, without consent and/or by force.
   c. Consent is clear, knowing, and voluntary. It may be given by words or actions, but silence itself cannot be interpreted as consent. Consensual words or actions create mutually understood, clear permission regarding willingness to engage in sexual activity.
   d. Force is the use of physical violence and/or imposing on someone physically to gain sexual access. Force also includes threats, intimidation, and coercion that overcome resistance or produce consent.
   e. Sexual activity with someone whom one knows to be, or based on the circumstances should reasonably have known to be, mentally or physically incapacitated, constitutes a violation of this policy.

7. This policy applies universally to all University employees and students in their dealings with each other and to all University employees and students in their dealings with third parties. Any University employee or student who violates this policy will be subject to corrective action up to and including termination or dismissal. University employees or students may be disciplined, up to and including termination or dismissal, for engaging in behavior that is disrespectful, disruptive, or otherwise prohibited by this policy, regardless of whether that behavior constitutes harassment prohibited by law.

B. Discrimination, harassment, and retaliation grievance procedures
1. Any individual who feels he/she has witnessed or experienced behavior prohibited by this policy, or who has questions, concerns, or information regarding violations of this policy, should
immediately report the circumstance(s) or incident(s) to his or her supervisor or one of the contact persons described in this policy.

2. Upon receipt of a report of discrimination, harassment, or retaliation, the University will conduct a prompt, thorough, and impartial investigation, evaluating all relevant information and documentation relating to the report.
   a. If a report is made, an ATSU investigator will meet with the reporting party to discuss the allegations and/or circumstances. The objectives of this initial meeting will be to reduce the report to writing, stop the harassment, prevent its recurrence, and take steps to remedy its effects in the interim.
   b. If, following this meeting, it is determined no potential policy violations exist, the investigator will produce a report stating such conclusion, including all elements of the initial meeting and interim remedial steps taken.
   c. Interim remedial steps may include course or work adjustments, no contacts orders, temporary suspension of the alleged perpetrator, or any other reasonable measure to facilitate the end and prevention of harassment.
   d. If, after an initial meeting between an ATSU investigator and a reporting party, it is determined any part of this policy may have been violated, a full investigation will be conducted. Investigators will be appropriately trained and will not have a conflict of interest or bias against the reporting party or respondent. Such investigation will be concluded promptly, typically within ten (10) business days of the receipt of the report by the appropriate personnel. Investigations may, however, take longer based on a number of factors and variables, such as: the nature and detail of the notice received, the complexity of the investigation, and the cooperation level of the parties and witnesses. In almost all cases, investigations will be completed within sixty (60) business days, though this timeline may be extended for appropriate cause as determined by the investigator.
   e. The parties will be regularly updated as to the projected timeline for completion of the investigation. During the process, the reporting party and respondent will be given timely notice of any meetings at which either or both may be present, and will have equal opportunity to present witnesses, provide evidence, and have others present, including an advisor of their choice. The reporting party, respondent, and appropriate officials will be given timely and equal access to information to be used during informal and formal disciplinary meetings and hearings.
   f. Investigators use the “preponderance of evidence” (more likely than not) standard when determining whether or not there is a violation.
   g. Simultaneous written notice to the parties describing the findings of the investigation, including determination of responsibility and sanctions, and available appeal procedures, will occur within five (5) business days of the completion of the investigation.
      1. Sanctions for employees may include a disciplinary warning to be added to the employee’s permanent file, probation, suspension with or without pay, and/or termination.
      2. Sanctions for students may include reprimand, a disciplinary warning to be added to the student’s permanent file, probation, suspension, and/or dismissal.
   h. The parties will have the right to appeal within five (5) business days of receiving the findings. If the appeal is not timely or substantively eligible, the original findings and sanctions will stand, and the decision will be final. If the appeal has standing, the documentation will be forwarded for consideration. The party requesting the appeal must show error as the original findings and sanctions are presumed to have been decided reasonably and appropriately. The only grounds for appeal are:
      1. A procedural (or substantive) error that significantly impacted the outcome of the hearing (e.g. substantiated bias, material deviation from established procedures).
      2. To consider new evidence, unavailable during the original hearing or investigation, that could substantially impact the original findings or sanctions. A summary of this new evidence and its potential impact must be included.
      3. The sanctions imposed are substantially disproportionate to those previously imposed for similar violations. Right to appeal under this provision is for the responding party only. No other party has the right to appeal sanctions.
   i. Upon receipt of a written appeal, an appellate panel consisting of three (3) members of the ATSU Equity Grievance Pool (EGP) will be selected to rule on the appeal.
      1. EGP members are appointed by the ATSU President.
2. EGP members include the Title IX administration team, two (2) faculty members nominated by ATSU Faculty Senate, two (2) students nominated by the University Student Association, and two (2) staff members nominated by the University Staff Council.

j. The appellate panel will rule on the appeal within fifteen (15) business days. Any extension of time beyond fifteen (15) business days will be communicated to both parties along with an updated timeframe for the ruling.

k. Any sanctions imposed at the conclusion of an investigation will remain in effect during the appeals process.

l. In the event an appeal is upheld by the appellate panel, the panel’s report will be submitted to the investigators for redetermination based on the panel’s findings. Written notice to the parties describing the revised findings of the investigation, including determination of responsibility and sanctions, will occur within five (5) business days of receipt of the appellate panel report.

C. Anti-retaliation

1. The University will not retaliate against, nor permit retaliation against, any individual who opposes discrimination or harassment, makes a complaint of discrimination or harassment, and/or participates or cooperates in a discrimination or harassment investigation, proceeding, or hearing.

2. Examples of retaliation:
   a. After a whistleblowing incident, an employee may suddenly find herself being assigned to different duties or even moved into a different position. The new role often involves duties that are below the employee’s capabilities or even demeaning in nature. The supervisor may make the new role as difficult as possible by harshly critiquing results or implementing unreasonable time constraints for completing projects. The supervisor may also limit access to resources the employee needs to complete her assigned tasks.
   b. Employers may retaliate by excluding the employee from normal activities, attempting to create a sense of isolation. A supervisor may refuse to invite the employee to an important meeting or a social activity such as a group luncheon or outing. She may also exclude the employee from training sessions that could enhance the employee's job performance or opportunity for advancement. Exclusion can occur by relocating the employee to an area where she has little contact with other workers.

D. Amnesty for drug/alcohol possession and consumption violations

1. ATSU strongly encourages students and employees to report potential violations of this policy. Therefore, good faith reporters to appropriate authorities regarding potential violations will not face University disciplinary action for their own drug/alcohol possession or consumption in connection with the reported incident.

2. Amnesty for persons making a report in good faith does not include substance abuse counseling and/or rehabilitation which may be necessary for employees or students with clinical responsibilities or patient contact.

RESPONSIBILITY

A. The assistant vice president of human resources and the director of human resources are responsible for responding to and monitoring all complaints of discrimination, harassment, or retaliation from employees.

B. The vice president for student affairs and the associate vice president for student affairs are responsible for responding to and monitoring all complaints of discrimination, harassment, or retaliation from students, members of the public, or beneficiaries.

C. The Title IX coordinator is responsible for all sex- and gender-based harassment and discrimination awareness, prevention, training, monitoring, reporting, investigation, and resolution at ATSU.